

## Looking Within: Refining the Audit Process

### Course Summary

#### Description

This course is designed to provide attendees with insight on some of the latest tools and techniques to improve the efficiency and effectiveness of your Audit Department and provide increased value to your enterprise.

#### Objectives

After taking this course, students will be able to:

- Evaluate and Update the Audit Project Charter
- Facilitate the Annual Audit Risk Assessment
- Develop the Annual Audit Program
- Streamlining Audit Work Papers and Process
- Implement Risk Based Audits
- Participate in Integrated Audits
- Facilitate Control Self Assessments
- Conduct Audits
- Perform Follow-up Reviews
- Perform Analytical Analysis on Audit Performance Data

#### Topics

- Introduction to process improvement and DMAIC methodology
- Annual Audit Risk Assessment
- Annual Audit Plan Development and Approval
- Planning the Audit Engagement
- Kick-off Meeting and Communications
- Audit Process and Communications
- Risk Based Auditing
- Integrated Auditing
- Control Self Assessments
- Continuous Auditing and Monitoring
- Audit Memo's and Reports
- Audit Finding – Tracking
- Audit Remediation Testing
- Audit Analytics

#### Audience

This course is designed for audit and assurance professionals.

#### Prerequisites

Before taking this course, you should have two to three years of audit, risk and/or compliance managerial experience.

#### Duration

Five days